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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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08/897, 217 07/14/97 DEAN

D P-2057/723

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EXAMINER

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ART UNIT PAPER NUMBER

2755

DATE MAILED: 06/22/00

*17*

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

<b>Office Action Summary</b>	Application No. <b>08/897,217</b>	Applicant(s) <b>DEAN</b>
	Examiner <b>Lewis Bullock, Jr.</b>	Group Art Unit <b>2755</b>

Responsive to communication(s) filed on Apr 24, 2000

This action is **FINAL**.

Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

#### Disposition of Claims

Claim(s) 1-15 and 22 is/are pending in the application.

Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

Claim(s) \_\_\_\_\_ is/are allowed.

Claim(s) 1-15 and 22 is/are rejected.

Claim(s) \_\_\_\_\_ is/are objected to.

Claims \_\_\_\_\_ are subject to restriction or election requirement.

#### Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

The proposed drawing correction, filed on \_\_\_\_\_ is  approved  disapproved.

The specification is objected to by the Examiner.

The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. § 119

Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

All  Some\*  None of the CERTIFIED copies of the priority documents have been

received.

received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

#### Attachment(s)

Notice of References Cited, PTO-892

Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_

Interview Summary, PTO-413

Notice of Draftsperson's Patent Drawing Review, PTO-948

Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

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## **DETAILED ACTION**

### ***Drawings***

1. This application has been filed with informal drawings which are acceptable for examination purposes only. Formal drawings will be required when the application is allowed.

### ***Double Patenting***

2. Applicant is advised that should claim 1 be found allowable, claim 22 will be rejected under 35 U.S.C. 101 as being a substantial duplicate thereof. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to reject the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-15 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over GRATE (US 5,956,483).

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As to claim 1, GRATE teaches a method for serving remote procedure calls (L-WFCP function call) from an applet (HTML document) which executes within an applet viewer (browser) which in turn executes in computer system that is serving the remote procedure calls, the method comprising:

receiving from the applet (HTML document) which executes in the same computer system that serves the remote procedure calls (L-WFCP function call), a request for a document (HTTP POST message) according to a document retrieval protocol (HTTP) implemented on a computer network;

determining that the request specifies a function (strip off the HTTP header information and pass the WFCP function calling information) which is defined within a computer process (Shopper) executing independently of the applet (HTML document) and applet viewer (browser) and which includes one or more computer instructions, execution of which performs a task which is unrelated to retrieval of any document specified in the request (invoke COM object); and

executing the function (client-side function) in the same computer system that is executing the applet and applet viewer to thereby cause execution of the one or more computer instructions in response to receipt of the request (Col. 7, lines 28-37; Col. 9, lines 26-37; Col. 11, line 64- Col. 12, line 52).

As to claim 2, GRATE teaches the step of determining comprises: determining that the request includes a document specification which is in a portion of a name space reserved for

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function requests (Col. 2, lines 16-23; Col. 3, lines 22-55) ("This protocol specifies a format for embedding function-calling information within HTML content using the standard GET/POST format for HTTP.").

As to claim 3, GRATE teaches returning to the applet result data produced by execution of the function (response) (Col. 10, lines 14-22).

As to claim 4, GRATE teaches the returning comprises: forming a document which includes the data; and sending the document to the applet (Col. 10, lines 14-22) ("..all function call requests and responses are passed over the Internet using standard HTTP messages.").

As to claim 5, GRATE teaches the document retrieval protocol is HTTP (Col. 10, lines 21-23).

As to claims 6-10, reference is made to a computer readable medium which corresponds to the method of claims 1-5 and is therefore met by the rejection of claims 1-5 above.

As to claims 11-15, reference is made to a system which corresponds to the method of claims 1-5 and is therefore met by the rejection of claims 1-5 above.

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As to claim 22, GRATE teaches a method for serving remote procedure calls (L-WFCP function call) from an applet (HTML document) which executes within an applet viewer (browser) which in turn executes in computer system that is serving the remote procedure calls, the method comprising:

receiving from the applet (HTML document) which executes in the same computer system that serves the remote procedure calls (L-WFCP function call), a request for a document (HTTP POST message) according to a document retrieval protocol (HTTP) implemented on a computer network;

determining that the request specifies a remote procedure call (strip off the HTTP header information and pass the WFCP function calling information) which is defined within a computer process (Shopper) executing independently of the applet (HTML document) and applet viewer (browser) and which includes one or more computer instructions, execution of which performs a task which is unrelated to retrieval of any document specified in the request (invoke COM object); and

executing the function (client-side function) in the same computer system that is executing the applet and applet viewer to thereby cause execution of the one or more computer instructions in response to receipt of the request (Col. 7, lines 28-37; Col. 9, lines 26-37; Col. 11, line 64- Col. 12, line 52).

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5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lewis A. Bullock, Jr. whose telephone number is (703) 305-0439.



ALVIN E. OBERLEY  
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lab

June 5, 2000